

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10597549
Filing Date	2008-09-03
First Named Inventor	Richard M. Woundy
Art Unit	2426
Examiner Name	KAY, Mary Anne
Attorney Docket Number	007412.00135

**U.S.PATENTS**

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	5781910		1998-07-14	Gostanian et al.	
	2	6088451		2000-07-11	He et al.	
	3	6463454		2002-10-08	Lurhelsky et al.	

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**U.S.PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	20020188732		2002-12-12	Buckman et al.	
	2	20040153207		2004-08-05	Peck	
	3	20040193712		2004-09-30	Benati et al.	

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	4	20050050218		2005-03-03	Sheldon	
	5	20050102297		2005-05-12	Lloyd et al.	

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#### FOREIGN PATENT DOCUMENTS

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1	2001-105351	JP		2001-12-26	Thomson Licensing SA		<input type="checkbox"/>
	2	2000-341430	JP		2002-05-24	Sony Corp		<input type="checkbox"/>
	3	2002-306331	JP		2003-08-08	Microsoft Corp.		<input type="checkbox"/>

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#### NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1	Data-Over-Cable Service Interface Specifications, Interim Specification, SP-RFLv1.1-I07-010829, 2001-08-29, Cable Television Laboratories	<input type="checkbox"/>
	2	Japanese Office Action JP 2006-551486, dated 03.13.2012	<input type="checkbox"/>

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Japanese Office Action JP 2006-551482, dated 02.08.2011



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That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

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That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.  
 The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.  
 A certification statement is not submitted herewith.

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10-10. Please see CFR 1.4(d) for the form of the signature.

Signature	/Evan M. Clark/	Date (YYYY-MM-DD)	2012-05-16
Name/Print	Evan M. Clark	Registration Number	64836

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